WILLIAM & MARY | PUBLIC POLICY

Critical Infrastructure that Inspires Confidence and Delivers Results

Proceedings from a symposium hosted by the W&M Public Policy Program on April 5, 2024 in Williamsburg Virginia



Crim Dell bridge on the campus of William & Mary

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Dedication

We dedicate this report to Dorlian Castillo Cabrera, Alejandro Hernández Fuentes, Miguel Angel Luna Gonzalez, José Mynor López, Miguel Luna, and Maynor Yasir Suazo-Sandoval who died tragically while servicing the Francis Scott Key Bridge on March 26, 2024, the week before our symposium. The bridge collapsed after the container ship Dali struck one of its support piers. May the memories of these men continue to inspire the daily vital work of other critical infrastructure professionals in the United States and abroad.

Symposium Overview and Goals

On April 5, 2024, the W&M Public Policy Program convened approximately 30 policy experts from federal, state, and local governments (including people with military or civilian roles), representatives from the private sector and non-profit sector, and academic researchers to discuss critical infrastructure policy in the United States. Those experts also engaged students throughout the day. The conversation was organized around specific discussion prompts and key problems of practice that critical infrastructure professionals regularly face. Given the participants' backgrounds, examples came from local settings in the Richmond to Virginia Beach corridor here in Virginia, as well as examples from other states and the nation.

The symposium aimed to achieve three key goals.

First, it provided participants with opportunities to discuss critical infrastructure challenges and opportunities with leaders who work across governmental and non-governmental sectors at federal, state, and local levels, allowing them to learn from one another in a highly interactive discussion format.

Second, the participants were able to explore specific strategies for addressing difficult problems of practice including critical infrastructure governance, use of federal funds, guarding against both slow- and fast-moving threats, and fostering community trust.

Third, the results of the discussion provided the basis for these published proceedings, which compiles and summarizes ideas that the participants offered throughout the symposium.

We hope this report provides insights and inspiration for others interested in this important policy area. Interested readers with additional questions can contact Prof. Paul Manna (pmanna@wm.edu) for more information about the symposium and this report's conclusions.

Organizations Represented at the Symposium

The ideas and conclusions in this report are the interpretations of the authors and do not necessarily represent the positions of the organizations named here.

City of Richmond, Virginia

City of Newport News, Virginia

Federal Emergency Management Agency

FEWSION, Northern Arizona University

Global Research Institute, William & Mary

Hampton Roads Alliance

Hampton Roads Military and Federal Facilities Alliance

Hampton Roads Sanitation District

James City County, Virginia

National Association of Clean Water Agencies

Office of the Secretary of Defense

Office of the Under Secretary of Defense for Intelligence & Security

North Carolina Department of Transportation, Board of Transportation

State of Washington, Emergency Management Division

Virginia Department of Transportation

Virginia Sea Grant Program

Virginia Institute of Marine Science

U.S. Department of Homeland Security, Cybersecurity & Infrastructure Security Agency

U.S. Army War College

U.S. House of Representatives

U.S. Air Force, Langley AFB

U.S. Army Corps of Engineers

U.S. Navy, Mid-Atlantic

U.S. Coast Guard

W&M Public Policy Program

Definition of Critical Infrastructure

Critical infrastructure is a complex and capacious concept. The Cybersecurity & Infrastructure Security Agency (CISA) within the U.S. Department of Homeland Security has identified 16 sectors that collectively make up the critical infrastructure of the United States. As CISA notes, across these sectors, their "assets, systems, and networks, whether physical or virtual, are considered so vital to the United States that their incapacitation or destruction would have a debilitating effect on security, national economic security, national public health or safety, or any combination thereof." Figure 1 lists each sector.

Chemical Commercial Communications Critical Dams Defense Emergency Energy facilities manufacturing industrial base services Information Nuclear Transportation **Financial** Food and Government Healthcare Water and services agriculture facilities and public technology reactors, systems wastewater health materials, systems and waste

Figure 1. The 16 Critical Infrastructure Sectors

Source: Government Accountability Office. 2023. Critical Infrastructure Protection: Time Frames to Complete DHS Efforts Would Help Sector Risk Management Agencies Implement Statutory Responsibilities (GAO-23-105806). February 7.

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¹ Cybersecurity & Infrastructure Security Agency. https://www.cisa.gov/topics/critical-infrastructure-security-and-resilience/critical-infrastructure-sectors.

1. Top-of-Mind Issues

Introduction prompt: What key issue about critical infrastructure is top of mind for you right now? Why is that issue so salient for you?

The symposium began with each participant introducing themselves by describing their daily work and also identifying which aspects of critical infrastructure policy were capturing most of their attention at the moment. Their answers reflected the diverse set of professional backgrounds that they brought to the event and highlighted the multidimensional nature of critical infrastructure policy. The following major themes, summarized in Table 1, emerged in this opening round of discussion.

Table 1. Top-of-mind themes emerging from discussion

Theme	Summary
Communications	Key dimensions include physical critical infrastructure assets that make modern communications possible, and also the processes involved in communicating about critical infrastructure within government, among government and its partners and the broader public, and to the nation's allies and adversaries.
Emerging threats to the homeland	Numerous vulnerabilities are "in play" for adversaries interested in making mischief and doing major harm. Strategies and tactics for confronting emerging threats require complex coordination across government and its partners.
Distribution of federal	New funding streams are creating opportunities for infrastructure
funding	enhancements, yet varying capacity of subnational governments have
	produced implementation and equity challenges.
Resilience and	Environmental changes are outpacing critical infrastructure developments
aging infrastructure	in various sectors. Tensions exist between innovating for the future
	(inventing new things) and protecting and servicing basic infrastructure assets already in place (maintaining old things).
Building back	The increasing frequency of severe weather events means that rebuilding is
after disasters	a more regular occurrence. Opportunities exist during rebuilding to assess
	what resilience strategies and authority structures were effective, and
	which need revisions.
Interdependencies	The nation's 16 critical infrastructure sectors depend upon one another in
	various ways. Seeing those connections and their implications for when
	things might go wrong is important for identifying potential overall
	weaknesses or vulnerabilities.

Communications. Receiving and transmitting information about critical infrastructure is itself a multidimensional topic. One dimension focuses on the physical aspect of communications, such as broadband technology, cell-phone networks, and military communications networks. These areas all require important investments, maintenance, and

protection. A second dimension focuses on the process of communications, which itself contains internal and external elements. Internal communications are critical within and across government agencies so that public officials can share information as they make plans and respond to fast-moving threats to infrastructure. External communications involve information gathering and sharing with the broader public, and the government's private sector partners. Those channels are vital when danger strikes or when infrastructure systems fail. External communications also involve engaging the nation's allies and also adversaries that might want to do harm to U.S. critical infrastructure. Projecting power that can make adversaries wary of initiating attacks, while simultaneously educating Americans to combat foreign misinformation, which can stoke confusion during fast-moving attacks, are all salient here.

Emerging threats to the homeland. In the increasingly interconnected and complex world, the United States cannot count on its relative geographic isolation from its adversaries for protection. The nation's enemies increasingly see the assets within the nation's boundaries as "in play" and ripe targets for, at best, making mischief and, at worst, inflicting major harm. Given the range of critical infrastructure sectors, federal defense and security agencies and their subnational partners face growing security challenges. For example, a major power outage sparked by a hostile attack, including domestic terrorism, could disrupt the flow of electricity to U.S. military bases, hampering readiness. Managing evolving threats can be tricky because it is not always easy for national government agencies to share intelligence or real-time updates with state and local partners, even though those partners often provide the first line of defense given that all infrastructure failures or disasters begin as local events. As the nation develops and implements its national security strategy, shaping plans that are adaptable and leverage assets across levels of government, not just the U.S. military and security agencies, will remain an ongoing challenge.

Distribution of federal funding. In recent years, the United States has made substantial investments in critical infrastructure, most notably through the passage of the Infrastructure Investment and Jobs Act of 2021.² That trillion-dollar act provides tremendous opportunities for bolstering and transforming the nation's critical infrastructure. Nevertheless, taking advantage of the opportunity has been challenging. State and local authorities can be overwhelmed by program complexities and requirements as they attempt to leverage these funds while also spending them on time and in the right ways, consistent with IIJA requirements and other regulatory demands that sometimes are unfunded. Further, capacities of states and localities vary, which risks further exacerbating gaps in infrastructure between high- and low-resourced jurisdictions. Those gaps are most likely to widen when infrastructure funds flow via competitive grant programs.

Resilience and aging infrastructure. In many ways, maintaining, modernizing, and protecting the nation's critical infrastructure involves several different races against time. Keeping ahead of emerging foreign threats is one example, noted above. Another is that changes in the natural environment are moving at a rapid pace and the nation's systems struggle to keep pace. As one symposium participant noted, "Our systems are built for yesterday." Several of those key systems that most people take for granted, such as public works that provide clean

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² The Brookings Institution is tracking implementation of the IIJA with its Federal Infrastructure Hub resource: https://www.brookings.edu/articles/federal-infrastructure-hub/.

water while removing waste, sometimes do not receive as much attention amidst other efforts to develop next-generation technologies in battery power and telecommunications. Yet very basic elements of those systems, including their pipes and reservoirs and pumping stations, remain vital for the nation's success, even as they depend more on high-tech computer systems for their operation. A further challenge is that professional rewards in the fields of engineering often focus more on the design and construction of new assets, and less on creating viable strategies for maintaining those assets over their lifespans. These challenges have converged with much urgency in the Hampton Roads region in Virginia. The City of Norfolk, for example, a major port and home to Naval Station Norfolk, the largest U.S. naval base in the world, faces substantial challenges due to rising sea levels and also increasing demands for fresh water and electricity from industry and the broader public.

Building back after disasters. The Hampton Roads area of Virginia is no stranger to hurricanes and other powerful storms. Those intense weather events, which nationally are becoming more frequent, create challenges for state and local authorities as they respond to their people's needs. All disasters begin as local events, as the saying goes. They also challenge the federal government given the supportive role it plays in disaster response and simultaneously as it attempts to protect its numerous military assets in the region. Building back after disasters creates a moment where governments and their partners can assess how past development strategies might have mitigated damage. They can use that information to plan future approaches that ensure governments have adequate authority and capabilities to respond and that create good incentives for individuals and businesses to follow practices that can minimize future damage.

Interdependencies: In attempting to maintain and protect infrastructure across the 16 critical sectors that CISA has identified, interdependencies abound. In general, an interdependency exists when the failure of one system creates cascading effects across others. Sometimes these interdependencies are clear for everyone to see, such as a failure of the electrical grid that could undermine other sectors like communications, health care, and public works. Not all interdependencies are obvious, though. For example, state plans for responding to severe weather often assume the availability of state National Guard forces and assets. However, if such an event were to occur simultaneously during a major attack on the nation's infrastructure from a foreign adversary, those assets might be deployed instead to support their federal military missions, leaving states unable to execute their emergency plans. Addressing these complexities requires first recognizing that they exist and then developing defense and response frameworks that can minimize their effects during times of stress or severe danger.

2. Governing Critical Infrastructure within and across Silos

Discussion prompt: How to navigate the challenges that siloed governance creates for critical infrastructure, including during "quiet" times as well as moments before, amidst, and after human or natural disasters?

Policy practitioners everywhere lament the challenges of working in siloed environments that create thorny governance challenges. A major example is the relationship between the military and civilian communities around expectations regarding prioritization and use of infrastructure, especially in times of disaster. More generally, too, within and across the military and civilian sectors, silos can create challenges because government funding comes from numerous sources and interacts with different public and private entities on the ground that actually own the vast majority of the nation's critical infrastructure. Still, the specialization that silos provide can help simplify tasks and make policy implementation more tractable. In discussing this topic, the symposium participants surfaced the themes summarized in Table 2.

Table 2. Governance themes emerging from discussion

Theme	Summary
Benefits of silos	Elected officials and the public often lament agency silos, which sometimes
	create artificial divisions that fail to reflect the networked conditions
	existing in the real world. Yet silos also provide division of labor and
	specialization that can mobilize expertise.
Disaster response	Responding to disasters involves activating siloed organizations so they can
	operate as high-functioning networks during fast-moving events where lives
	and physical assets are stressed or in danger.
Foreign threats	Adversaries of the U.S. may exploit vulnerabilities that fall between the
	cracks of silos involving government and non-governmental actors that
	manage the nation's critical infrastructure.
Military and	The policy incentives that create "inside the fence" versus "outside the
civilian sectors	fence" perspectives are strong when it comes to military relationships with
	local communities. Still, cooperation on critical infrastructure development
	is possible when leaders prioritize building relationships and embrace
	creative ways to solve problems.
Funding challenges	Funding streams reinforce silos and create incentives that run against
	holistic thinking about critical infrastructure maintenance and
	development. Budget processes and the policy jurisdictions of legislative
	committees reinforce these tendencies.
Looking ahead	Critical infrastructure professionals see benefits from collaboration across
	silos but recognize the time constraints and incentives that make these
	approaches difficult to engineer in practice. Further, emerging technologies
	may provide opportunities to integrate siloed production of data that are
	relevant for maintaining and protecting critical infrastructure.

Benefits of silos. Division of labor across government agencies creates operational silos that can exacerbate real-world critical infrastructure problems in ways that complicate policy implementation. The prior discussion about interdependencies, which sometimes cut across different silos, reveals as much. Still, despite these critiques, it is important to remember that silos exist for a reason. Dividing up labor breaks down complicated problems into manageable parts. It also allows governments to mobilize specialized expertise to address particular technical or organizational challenges. Any discussion of reforming how silos operate, then, would benefit from incorporating these ideas, as well, so that critical infrastructure sectors can benefit from these structures while overcoming the weaknesses and blind spots they create.

Disaster response. All critical infrastructure emergencies, whether from natural forces or attacks from an internal or external adversary, are felt most acutely at local levels. During an unfolding disaster and in the immediate aftermath, people in local communities face tremendous interconnected stresses that siloed divisions of labor are challenged to handle. As part of planning for disaster response, then, it is important for critical infrastructure managers to anticipate the personal and professional connections across silos that will be most impactful when danger strikes. That includes thinking about the networks and subnetworks relevant to disaster response, such as key supply chains, the lines of communication and information sharing that they require, and the budgetary processes that allow funds to flow quickly and flexibly to address the most acute needs without becoming ensnared in cross-silo turf battles or unhelpful bureaucratic red tape.

Foreign threats. In the past, a massive nuclear attack was the only scenario where government strategists saw the nation's critical infrastructure as at risk from major non-natural threats. Today, threats are more complex and can involve non-kinetic techniques. Foreign meddling in the operations of the nation's critical infrastructure, such as computer hacking to disrupt major systems or the spread of disinformation campaigns that exploit panic during natural disasters, can pull at the seams between organizational silos. Planning within silos sometimes also makes assumptions about what other silos will do. For example, state or local authorities, via their emergency response plans, may assume the availability of federal assets during a disaster. Their assumptions may be wrong, though, if national authorities simultaneously need to mobilize those same assets to address a foreign adversary that has used the disaster as a window of opportunity to do harm.

Military and civilian sectors. Outside moments when the nation's adversaries may be planning or executing an attack, a common theme that shapes how military and civilian silos interact to manage the nation's critical infrastructure is the notion of concerns that are "inside the fence" of military installations and others "outside the fence." Those distinctions have real policy consequences, but in practice the division is artificial given that transportation, public works, and energy transmission networks within military properties connect to and rely on smooth operations outside those properties, as well. Maintaining robust communication channels between base commanders and their staffs along with local government and private-sector critical infrastructure professionals can enhance daily operations and help solve pressing problems. As an example, the military often prioritizes personnel and tactical concerns over

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³ The brief documentary film *Tidewater* vividly reveals this sort of military and civilian interconnectedness. One can view the film here: https://www.amresproject.org/tidewater-film.

basic needs like keeping public works or energy systems on bases up to date. In contrast, local governments have more robust maintenance and replacement plans for such systems. Creative collaborations can help overcome this disconnect.

Funding challenges. Budget processes often create the silos that exist across the nation's critical infrastructure sectors. Government programs that fund road improvements, support upgrading ports of entry, and defend against cyber or physical attacks all live within specific government agencies that receive funds to support these programs. Those organizational forms are tied to legislative processes baked into committee structures and jurisdictions that elected officials aggressively protect. As such, the resulting funding silos create disincentives for considering holistic concerns across sectors and also across time given that funding cycles imperfectly map onto the timeframes where funds might be needed to seize an opportunity or respond to a pressing need. When those hurdles delay action, scenarios can emerge where a small maintenance issue becomes a pressing need that could require major repairs or renovations.

Looking ahead. Emerging technologies and practices suggest several new options for leveraging the strengths of organizational silos while simultaneously avoiding the many pitfalls documented in this section. Society is becoming increasingly complex, which can accelerate the tendency to divide labor and create more silos. Simultaneously, though, leaders inside and outside government increasingly recognize the impossibility of managing the massive information and data needs that complex systems require. As artificial intelligence (AI) tools become more nuanced and sophisticated, they may be able to mobilize civilian or military agencies more swiftly when disaster strikes a critical infrastructure sector. Additionally, prior to disasters or attacks, sophisticated mapping and network analysis tools, such as those at the FEWSION project of Northern Arizona University,⁴ are providing ways for leaders to obtain cross-sector perspectives on their work. That can help them identify relevant partners, including ones that had not occurred to them, and build communication channels that can overcome persistent bottlenecks.

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⁴ See https://fewsion.us/ for more information.

3. Leveraging Federal Investments for Critical Infrastructure

Discussion prompt: What strategies have federal agencies and governments across states and localities developed to make best use of these funds to support critical infrastructure? Which strategies have been best for avoiding bottlenecks or other administrative problems during implementation?

Federal funding streams amounting to more than one trillion dollars to support critical infrastructure development, maintenance, and protection are flowing from the Infrastructure Investment and Jobs Act of 2021, the Inflation Reduction Act of 2022, and other federal sources, including military budgets. Symposium participants discussed the tremendous potential that comes with these new resources as well as the hurdles that critical infrastructure professionals will need to navigate to spend these dollars well. The key themes from this discussion thread appear below in Table 3.

Table 3. Federal investment themes emerging from discussion

Theme	Summary
Complexity and	Federal investments in critical infrastructure represent a double-edged
unintended outcomes	sword. On the one hand they provide valuable and needed resources. On
	the other hand, they increase complexity during policy development and
	implementation due to foreseen and unforeseen circumstances.
Congressional	Congressional budgeting and oversight processes create certain
processes	expectations for state and local managers of critical infrastructure, which
	sometimes are at odds with local conditions or needs. Subnational
	communication to national elected officials and agency staff can help break
	through these patterns to foster productive change.
Capacity challenges	Federal funding opportunities do not always become realized on the
	ground. A big factor contributing to this outcome is the weak capacity that
	many state and local governments have in competing for funds and in
	providing matching dollars that some federal grants require.
Sharing lessons	Much untapped potential exists for grant recipients to share knowledge
	about their experiences working with grants, especially to those with less
	experience managing large and complex projects. Grant processes with
	competitive dimensions can limit incentives for this sort of sharing.
Stakeholder and public	Federal funders are increasingly expecting states and localities to
engagement	incorporate public and other stakeholder input into their grant applications.
	This requires advance planning and intentionally designed processes to
	incorporate actual rather than skewed perspectives from the most active
	groups that might not necessarily be experiencing the greatest needs.

Complexity and unintended outcomes. Securing adequate funds for regular maintenance, substantial upgrades, and new innovations are major issues confronting all of the nation's critical infrastructure sectors. Massive new federal funding streams create opportunities

to address those challenges, but even generous federal funding creates complexities of its own. As noted earlier, state and local governments must have pre-existing capacities to apply for and successfully compete for federal funds. Those realities hit hard, for example, when federal dollars earmarked for rural areas sit unused because those governments lack the systems to apply for them. They also intersect with other constraints, as when spending federal money requires subnational jurisdictions to demonstrate assurances that their critical infrastructure projects uphold environmental or historic preservation goals. Such complexity means that funds meant to accomplish multiple goals, such as the IIJA's push to improve infrastructure and put people back to work, may not reach their full potential due to delays in accessing and spending funds. The sense of urgency around these matters is palpable. As one member of the symposium commented, "federal funding has become a matter of survival, it is no longer just supplemental."

Congressional processes. Legislative processes in the U.S. Congress powerfully shape the use of federal investments in critical infrastructure. Sometimes those processes limit possibilities. The IIJA's restriction that federal military agencies were ineligible for its funds reinforced the "inside the fence" versus "outside the fence" idea referenced earlier. Committee jurisdictions also reinforce the silos problem, and sometimes mean that different silos (i.e., transportation versus electrical grid maintenance) compete against one another. How to address these challenges? Skilled agency administrators at state and local levels recognize that leeway does often exist in federal laws and regulations, but it takes a trained eye to see it. Further, when constituents within a state or congressional district strategize and mobilize their advocacy they increase the chances that their elected representatives will hear their pleas and act in their interests. Crafting compelling, cohesive narratives involving local voices will play to the primary concern of all legislators, namely, how to rack up the most votes in the next election.

Capacity challenges. "Money chases money." That's how one symposium participant summed up the relationship between federal agencies poised to offer critical infrastructure funds to states and localities and those state and local jurisdictions hoping to receive support. Without adequate funding to support grant acquisition and management, subnational government officials will struggle to receive what they believe is their fair share of the pie. Paying grant writers to go after grants makes good sense in theory. It can be difficult to execute in practice, though, if funds do not exist to hire those experts with the pen. As a result, a tendency towards risk aversion can find its way into the thinking of those officials. One other symposium participant echoed this idea in noting how there is "no bigger source of institutional embarrassment" than receiving a grant that you cannot execute.

Sharing lessons. As anyone or any organization that has ever applied for a grant will attest, the processes of applying for, receiving, spending, and closing out a grant produce numerous "ah ha" moments of learning. Some of those moments produce great insights about processes that could provide additional benefits if repeated in the future. Others cut the opposite way when delays, frustrations, and failures manifest. Given these realities, opportunities for grantees to learn from one another is a major gap in the federal grants process. Most collaborative learning opportunities are front-loaded, as when federal agencies host meetings or webinars to explain how to apply for recently announced grants. Those moments are helpful, but perhaps even more so would be additional proactive and real-time sharing among grant recipients across the arc of a grant's life. That could help save time, resources, and human effort

since grant recipients often encounter similar problems but struggle alone to come up with answers. A parallel dynamic exists when grant funds flow via competitions. In those moments, when funds are limited and governments either win or lose, recipients literally are working against one another as they develop their applications. Crafting institutions to facilitate sharing in these contexts, or perhaps creating more grant projects where applicants co-apply with others (i.e., multiple localities or multiple states submitting single proposals) are two ways to leverage sharing of valuable knowledge.

Stakeholder and public engagement. Contemporary federal funding processes for critical infrastructure are increasingly encouraging or requiring local jurisdictions to engage residents and other stakeholders as they craft their proposals. A key goal here is to increase the chances that projects are not simply serving powerful, entrenched interests or overlooking historically underserved or marginalized communities. That will help ensure that past blemishes on critical infrastructure initiatives—as in policies of redlining that shaped urban development and either under-invested in or literally wiped out vibrant Black and immigrant neighborhoods⁵—do not repeat themselves. Broad engagement also recognizes the idea from disaster management that all emergencies start and end locally. Hearing from people not only when their needs are most acute but also during planning to respond to those times of crisis can unearth valuable information and produce more relevant critical infrastructure projects worthy of financial support. Such engagement, if it is to be inclusive, needs to be an ongoing process, then, and not simply timed to the announcement or anticipated announcement of new federal funding streams. An added bonus of regular engagement is that it gives state and local governments and their partners more opportunities to combat misinformation, especially when their outreach strategies involve trusted members of subgroups within their larger communities.

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⁵ See the Mapping Inequality project at the University of Richmond for more details of these processes and their results: https://dsl.richmond.edu/panorama/redlining/.

4. How Critical Infrastructure Policy Can Bolster or Undermine Trust

Discussion prompt: How do critical infrastructure failures undermine trust? And what can creative leaders do about it?

A compelling challenge confronting public officials at all levels is the declining public trust in government institutions. Strained power grids, flooded streets, and attacks on the nation's critical infrastructure contribute to this problem and cultivate doubts about the government's ability to "deliver" for the American people. This concern becomes especially salient when equity concerns arise and some communities see their needs failing to register on government agendas for infrastructure maintenance or protection. However, leaders who maintain and protect critical infrastructure can use their work to create new wellsprings of trust inside and outside their organizations. Table 4 summarizes key themes from this discussion thread.

Table 4. Infrastructure and trust themes emerging from discussion

Theme	Summary
Communication	Communication about critical infrastructure to partners, constituents, and
strategies	overseers requires a multifaceted strategy. Otherwise, allowing media or
	other narratives to drive discussions can foster confusion, mistrust, and, in
	worst case scenarios, the spread of misinformation.
Over- and	Fast-moving events coupled with the speed of modern communications
under-reactions	(and the willingness of our adversaries to exploit them) can prompt people
	to overreact to perceived events that appear to stress or threaten critical
	infrastructure. Those overreactions have cascading effects, so proactive and
	swift communication to minimize their effects are key. In contrast, other
	moments requiring greater concern—as with the mundane but vitally
	important steps in preparing for storm season or practicing safe
	computing—receive less attention than they should.
Private sector	Governments supply funds for much of the nation's critical infrastructure
leadership	while simultaneously, the private sector actually owns many of the assets
	within the 16 critical sectors. Trust between government and private
	industry is important for conveying clearly to people how those joint-
	responsibilities shape people's lived experiences.
Equity	Government priorities often focus on the loudest or most organized
	communities, which can represent narrow slices of local, state, and national
	populations. Communication strategies that include all voices, especially
	those with less power, are important for ensuring that all people,
	regardless of their societal position, trust that critical infrastructure
	professionals are looking after their interests.
Local government	Fostering trust begins with recognizing who is trusted within various
connections	communities. Identifying those leaders and engaging them in conversations
	about critical infrastructure policy can help enhance the government's
	reputation and foster co-production around preparedness and disaster
	response.

Communication strategies. A compelling insight from the symposium participants is that the vast majority of critical infrastructure challenges are not due to a lack of technical knowledge or expertise about how to build a more efficient electrical grid, more efficient system of public works, or more resilient cyber networks. Instead, the most challenging issues involve the human dynamics that govern and oversee critical infrastructure. Chief among them are all of the elements associated with communicating with the broader public. Choosing the proper communication channels for various audiences to ensure that people understand their own personal responsibilities for disaster preparedness or response is one example. Some audiences would prefer modern message forms via social media and text messaging, while legacy systems like making phone calls or old-fashioned mailers to home addresses will be more effective in reaching others. Developing these communication channels is hugely important during crisis moments. However, one should not overlook the value of communication during more "normal" times, too. It can call attention to critical infrastructure success stories and put a human face on work that many people take for granted, while simultaneously building trust between the people and agencies responsible for critical infrastructure. Such trust can help deflect the impacts of misinformation campaigns when disasters do strike, such as when the nation's enemies tried to stoke confusion and mistrust during the tragic East Palestine, Ohio train derailment.⁷

Over- and under-reactions. Every day, the general public's attention is pulled in several directions simultaneously. When people trust critical infrastructure professionals inside and outside government they increase the chances that people will calibrate their reactions to news about critical infrastructure in ways that prevent problems from becoming worse. The East Palestine train derailment, noted above, is one example. The tragedy itself was bad enough, but when the nation's enemies layer misinformation onto those situations, which shapes public opinion, it can dial up fear or mistrust. Whereas that sort of panic can cascade quickly and undermine future cooperation between government and the people, the need to prompt action in more mundane times poses its own challenges. As one symposium participant observed, people nowadays seem to take less personal responsibility for their own preparedness than they did in previous eras (i.e., compare public participation in civil defense during the Cold War compared to hurricane preparedness today). Those under-reactions can be just as devastating as over-reactions to less significant threats. Regular communication from critical infrastructure professionals that foster trust can help calibrate those reactions to meet actual conditions.

Private sector leadership. Reading between the lines of Figure 1, which appeared earlier in this report and summarized the nation's 16 critical infrastructure sectors, one will recognize an important paradox. Although government policy and funding powerfully shape critical infrastructure in the United States, huge swaths of it are owned by the private sector. In addition, technological advancements, such as the development of massive data centers that power cloud computing and AI applications for governments and citizens alike place heavy burdens on the nation's critical infrastructure given their demands for water and electricity. These realities mean that even as the actions of government officials can shape public trust, so too can private sector leadership, especially during times of crisis. The 2021 example of the Colonial Pipeline

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⁶ An iconic example is the social media work of the Northeast Ohio Regional Sewer District, available at https://x.com/neorsd.

⁷ Associated Press. 2023. "Pro-Moscow Voices Tried to Steer Ohio Train Disaster Debate." Voice of America, March 18. https://www.voanews.com/a/pro-moscow-voices-tried-to-steer-ohio-train-disaster-debate-/7011413.html.

Company ransomware attack is an excellent demonstration of how such private sector decision making can influence public trust. The actual attack itself hit the business infrastructure of the company, not the pipeline itself. Still, company leaders decided to shut down the pipeline worrying that it might have suffered a subsequent attack. The crisis only lasted a few days but the panic that rippled across several states in the south and southeast drove up fuel prices and inconvenienced travelers. There was no evidence that the pipeline itself was at risk, but that detail was buried in the flurry of information about the incident. When such systems go down, though, people can be quick to blame the government, which further erodes trust. As such, how governments and private sector leaders cooperate to manage the nation's critical infrastructure is another vital component that can contribute to public confidence in these systems.

Equity. A cross-cutting theme in all the symposium's discussions was how to ensure that the benefits of investing in critical infrastructure do not skew toward the most well-off segments of society while leaving others behind. An important element for avoiding the sordid past of redlining and disasters such as the Flint, Michigan water crisis, for example, involves working extra hard to ensure that government serves all communities well. That means not only holding open meetings and listening sessions where people come to government or other community forums. It also requires critical infrastructure professionals to identify leaders within communities that are chronically underserved and then meet them on their own turf to better understand their priorities and visions of pressing problems or future opportunities. That sort of pro-active engagement will not only increase the chances that investments from the IIJA and other government programs benefit as many people as possible, it will also help governments respond to emergencies when natural disasters or attacks from the nation's enemies occur.

Local government connections. Compelling evidence demonstrates that face-to-face interactions can foster empathy between discussion partners and produce deeper understanding and more effective problem-solving. Local leaders lived these lessons first-hand, as one symposium participant recalled, during the COVID-19 pandemic. When city and county governments set up drive-in clinics to administer testing for the virus, they initially had little luck getting residents to participate. When they pivoted their strategy to include aggressive engagement with key community members, such as religious leaders, and then worked with them as partners the clinics became much more successful. Those same processes of local face-to-face engagement have applications in critical infrastructure policy, as well, given that public opinion polls consistently show that people are more likely to trust local governments than those that are more distant from their lives. Critical infrastructure projects serve as potential sites for rebuilding and reinforcing trust more generally, between ordinary people and the governments that serve them. Those results will not emerge without intentional persistent efforts from local officials, though, as the COVID-19 example here illustrates.

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⁸ U.S. Government Accountability Office. 2021. Colonial Pipeline Cyberattack Highlights Need for Better Federal and Private-Sector Preparedness." May 18. https://www.gao.gov/blog/colonial-pipeline-cyberattack-highlights-need-better-federal-and-private-sector-preparedness-infographic.

⁹ Marco Iacoboni. 2009. *Mirroring People: The Science of Empathy and How We Connect with Others*. New York: Picador.

5. Synthesis of key lessons learned and next steps

Discussion prompt: What main ideas from today's discussion resonate most with you? What key question or questions still remain that merit further attention?

The symposium concluded with participants reflecting on the numerous issues across the day's discussion threads. This exchange also included students who attended the event and observed the previous rounds of conversation. As in any symposium where numerous complex issues are on the table, the participants concluded the day with both insights and additional questions. The list in this concluding section reports these ideas in no particular order.

An overarching theme of the day seemed to be "meeting people where they are." Asking questions and then listening to the answers people offer is key. Sometimes information deficiencies can be as simple as not knowing something or not having asked.

"Resources and relationships" appear to be two issues that go hand in hand. When resources appear to serve all people well, and they understand government use of resources and see that they are serving valuable ends, then connections between government and the people are stronger.

Thinking about hierarchies and networks, and how both bear on critical infrastructure maintenance and protection, can provide insights for future policy.

Much evidence documents that people do not trust government. A key priority for critical infrastructure professionals should be to consider *why* that is and what they can do about it through their own policies, initiatives, and communication.

Differences in equity can be apparent even on different sides of the same city. How do local residents perceive these inequalities and how does it affect their interactions with the government? Those who engage are more likely to be listened to, so prompting broad engagement gives government officials the best chance to understand the views of the people they serve.

At what point does hardening infrastructure in some areas become maladaptive to our society? When would disinvestment make sense? Sometimes retreat may be more effective, but it is a challenging message to convey to people who have strong ties to a particular geographic locale.

Sometimes communication only seems necessary in times of crisis but communicating during "quiet times" can actually make it easier, then, for more difficult moments. Stakeholders may not be able to grasp the bigger picture that only governments may be able to see. How does government create forums for proactive, honest discussions?

Misaligned incentives between the public and private sectors can hinder making the best investments or innovations in critical infrastructure. Both sectors value secrecy when

government protects national security information and the private sector deploys proprietary technologies as it seeks profit. But because transparency can be valuable, such as when it allows for swift responses to emergencies, processes that facilitate information sharing are worth pursuing when possible. That sort of problem solving requires difficult and honest conversations.

Re-emphasizing the differences between hierarchy and networks may not be as incompatible as was previously stated. Hierarchies are, after all, simply a specific network form. Can hierarchies be beneficial? Many organizations embrace those forms. They also have shown evidence of adapting toward more democratic forms.

Adversaries can be reinforcing structural issues they see in our democratic systems as a way to turn our institutional structures and governing processes against us.

One strategy for fostering network cooperation is to incentivize critical infrastructure professionals to have regular conversations with external partners a couple of steps removed from their immediate surroundings. That will help deepen everyone's understanding of the complex connections within and across these sectors. Instead of having critical infrastructure professionals see things as "I am responsible" for operations of X, Y, and Z systems, one could reconfigure that understanding so that people think "myself, along with one degree of separation on my network are responsible" for those systems.

In addition to fostering trust between governments and their non-governmental partners, building trust among private partners themselves, who can sometimes be competing against one another for profits and market share, will be essential in some ways to bolster the nation's critical infrastructure.

Policy designs that foster cooperation among governments, as with jointly submitted grant proposals, are rare. Creating more opportunities for joint project submissions could strengthen valuable ties and produce more innovation.

An enduring challenge across critical infrastructure sectors is tracking long-term benefits of programs. How to know when critical infrastructure investments have a good return-on-investment? Outcomes can be complex and difficult to measure, especially when non-events (i.e., cyber attacks are deterred or prevented) count as successes.

In considering government capacity, one can ask what effect does outsourcing government work have on the public's trust in government? What is the level of reliability of the organizations receiving this outsourced work?

Appendix

This appendix contains materials that informed the discussion at the symposium. The participants received these background items in advance of the discussion. The materials appear here in the following order.

Welcome and introduction letter

Symposium agenda

Discussion #1 Background Memo: Governing Critical Infrastructure within and across Silos

Discussion #2 Background Memo: Leveraging Federal Investments for Critical Infrastructure

Discussion #3 Background Memo: How Critical Infrastructure Policy Can Bolster or Undermine Trust in Government



Critical Infrastructure that Inspires Confidence and Delivers Results

Friday, April 5, 2024

Dear Symposium Participant,

Many thanks for accepting our invitation to our symposium on critical infrastructure on Friday, April 5 here at William & Mary. This letter summarizes key information about the event. Hopefully the items below will anticipate some of your questions and help clarify our plans.

How should you prepare? Our main recommendation is to come with ideas and a willingness to share them and to listen to others. Accompanying this letter is the symposium agenda, which includes the different discussion prompts we will use to organize each part of the day. I've also included a few brief background memos that provide some initial snap-shots of those key areas. They are not meant to be comprehensive or definitive, but rather to put some issues on the table. If you have time to read the prompts and those brief documents in advance it would be great and hopefully help you start generating thoughts you could share with the group.

Where do you arrive for the symposium? We will be in the Chesapeake Rooms of the Sadler Center, next to the W&M football stadium. We have reserved parking for non-W&M participants. A parking map accompanies this letter. Registration opens at 8:45am. Discussions will begin promptly at 9:30am.

How will the day go? We will run the day as a series of whole-group discussions rather than presentations or panels. The room will have us all seated around a large square so it should be easy to see each other and hear each other. Either myself or a colleague will launch each discussion block and then we'll let the conversation flow, as in a seminar. There will be plenty of opportunities for everyone to speak. I'll be moderator and keep an eye on the time. We also will have ample break times for you all to connect one-on-one.

Who is participating? This is a "by invitation only" event. It is not open to the general public. We will have approximately 30 participants at the table, including people with national, state, and local perspectives from a range of civilian, military, and non-governmental organizations, including W&M faculty. We'll make a final participant list available to you on the day of the event. We also will have some of our students attending as observers during the sessions and we have invited them to join us for breaks, lunch, and receptions so they can meet you and you can meet them.

What will happen to the information discussed at the symposium? We will be taking notes on the conversations with everything considered off the record and not attributable to any specific individual. The discussion will NOT be streamed, nor will it be audio or video recorded. At various transition points we will ask you to offer some brief written ideas, again without attribution. Collectively, these ideas and our notes will help us compose a white paper that summarizes broad themes from the day. Finally, a member of the W&M News team and our own student communication assistants will join us to cover the event. They will not attribute any comments to specific speakers unless speakers give them permission.

Please reach out to me (<u>pmanna@wm.edu</u>) or Sophie Correll (<u>sbcorr@wm.edu</u>) with any questions. On the morning of the event, you can reach us best by phone or text at 757-784-3367 or 757-784-1579.

My best,

Director, W&M Public Policy Program Hyman Professor of Government

Critical Infrastructure that Inspires Confidence and Delivers Results

Williamsburg, Virginia Friday, April 5, 2024

We thank both the William & Mary Office of the Provost and the Public Policy Program Board of Advisors for financial support that made today's symposium possible.

Symposium objectives

- Discuss critical infrastructure challenges and opportunities with leaders who work across governmental and non-governmental sectors at federal, state, and local levels.
- Learn from policy practitioners and researchers in a highly interactive discussion format.
- Explore specific strategies for addressing difficult problems of practice including critical infrastructure governance, use of federal funds, guarding against slow- and fast-moving threats, and fostering community trust.
- Contribute ideas to the symposium's proceedings, which will collate lessons and promising practices based on the day's discussion and a synthesis of prior research.

Agenda – All sessions are in the Sadler Center Chesapeake Rooms

8:45-9:30am – Arrival and check-in

9:30-10:30am – Introduction to the day and to one another

• Introduction prompt: What key issue about critical infrastructure is top of mind for you right now? Why is that issue so salient for you?

10:30-10:45am – Networking break

10:45am-12:00n - Discussion #1. Governing critical infrastructure within and across silos

• Problem of practice description: Policy practitioners everywhere lament the challenges of working in siloed environments that create thorny governance challenges. A major example is the relationship between the military and civilian communities around expectations regarding prioritization and use of infrastructure, especially in times of disaster. More generally, too, within and across the military and civilian sectors, silos can create challenges because government funding comes from numerous sources and interacts with different public and private entities on the ground that actually own the vast majority of the nation's critical infrastructure. Still, the specialization that silos provide

can help simplify tasks and make policy implementation more tractable. How to navigate the challenges that siloed governance creates for critical infrastructure, including during "quiet" times as a well as moments before, amidst, and after human or natural disasters?

12:00n-1:00pm Lunch and networking

1:00-2:15pm – Discussion #2. Leveraging federal investments for critical infrastructure

• Problem of practice description: Federal funding streams amounting to more than one trillion dollars to support critical infrastructure development, maintenance, and protection are flowing from the Infrastructure Investment and Jobs Act, the Inflation Reduction Act, and other federal sources, including military budgets. What strategies have federal agencies and governments across states and localities developed to make best use of these funds to support critical infrastructure? Which strategies have been best for avoiding bottlenecks or other administrative problems during implementation?

2:15-2:30pm – Networking break

2:30-3:45pm — Discussion #3. How critical infrastructure policy can bolster or undermine trust in government

• Problem of practice description: A compelling challenge confronting officials at all levels is the declining public trust of government institutions. Strained power grids, flooded streets, and physical or cyber attacks on the nation's critical infrastructure contribute to this problem and cultivate doubts about government's ability to "deliver" for the American people. This concern becomes especially salient when equity concerns arise and some communities begin believing that their needs simply fail to register on government agendas for infrastructure maintenance or protection. However, leaders who maintain and protect critical infrastructure can use their work to create new wellsprings of trust inside and outside their organizations. How do critical infrastructure failures undermine trust? And what can creative leaders do about it?

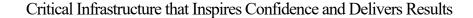
3:45-4:00pm – Networking break

4:00-4:45pm - Synthesis of key lessons learned and next steps

• Discussion prompt: What main ideas from today's discussion resonate most with you? What key question or questions still remain that merit further attention?

4:45-6:00pm – Reception

For more information about the W&M Public Policy Program, including our Master's in Public Policy degree track, visit us at https://www.wm.edu/as/publicpolicy/.





Discussion #1 Background Memo: Governing Critical Infrastructure Within and Across Silos April 5, 2024

Policy practitioners in critical infrastructure sectors work in siloed environments that create thorny governance challenges across and within the civilian and military sectors. Still, the specialization that silos provide can help simplify tasks and make policy implementation more tractable. Finding the right balance between specialization and integrated governance requires creative management and coordination.

1. Several forces shape the siloed yet increasingly interconnected nature of the nation's critical infrastructure.

Funding for infrastructure development comes from many sources, and multiple public and private entities including governments, private sector businesses, and the military, own the nation's physical infrastructure. This overlap in responsibility and oversight complicates efforts for the nation to recognize cross-sector weaknesses and to initiate crucial updates to both protect critical infrastructure from threats as well as provide essential services to citizens.

Due to technological advancements, our nation's infrastructure has both expanded its reach and become more interdependent across systems. At the same time, there "also appears to be limited regional understanding of the interdependent nature of critical infrastructure systems and the cascading effects that can result when major disruptive events compromise them."

A significant reason that the interconnectedness of our nation's infrastructure poses a risk is that most critical infrastructure systems are managed far beyond the control of a single local or state jurisdiction. "The nation's critical infrastructures (transportation, communications, energy, water, public health, cybersecurity) sprawl across local, state, and national jurisdictions with both public and private ownership."²

2. The military's reliance on critical infrastructure sectors requires excellent coordination with civilian sectors.

A key overlap in jurisdictional control of crucial infrastructure is military reliance on civilian-controlled electricity and other utilities on military installations. Over a decade ago, the Department of Defense's Defense Science Board warned that "military installations are almost completely dependent on a fragile and vulnerable commercial power grid, placing critical military and homeland defense missions at unacceptable risk of extended outage."

The study went on to assert that "backup power at military installations is based on assumptions of a more resilient grid than exists and much shorter outages than may occur."³

The nation's foreign rivals, including Russia, China, North Korea, and Iran, are increasingly surveilling the U.S. electrical grid. Since the vast majority of the electricity consumed at military facilities originates off-base, the military's capacity to thwart disruptions is constrained.

Power generation is a major issue, but still only one example of these coordination challenges. They also exist for transportation, communications, and other critical infrastructure needs. Overall., the military and national security sectors depend highly on civilian oversight of

¹ "Resilience Governance for Infrastructure Dependencies and Interdependencies." Northeastern University Global Resilience Institute.

² "Resilience Governance for Infrastructure Dependencies and Interdependencies." Northeastern University Global Resilience Institute.

³ Thompson, Loren. "Critical U.S. Military Sites Can't Cope with a Prolonged Power Outage." Forbes

crucial infrastructure. When those sectors themselves struggle to coordinate it complicates an already challenging task for the nation's military planners and leaders on the ground in domestic bases.

3. Patchwork governance of critical infrastructure makes it challenging to identify and incentivize system-wide improvements.

Further complicating infrastructure improvement is the reality that the numerous private companies and local authorities that manage sectors such as electric utilities, water systems, and transportation may attend to silo-specific incentives that may not support broader system improvements.

Those choices are not because sector leaders necessarily oppose coordination, but rather because funding streams and reporting requirements encourage such behavior.

The current configuration of U.S. infrastructure oversight across a patchwork of hundreds of governmental and private sector actors means that connective points between these systems are particularly vulnerable to malicious actors. Such state or nonstate actors, whether based domestically or abroad, can therefore inflict significant

damage on our military and civilian populations by exposing these vulnerabilities.

Sometimes such gaps are difficult to see until after a system or collection of systems experiences some sort of grand failure.

4. Climate change represents a particularly salient challenge for siloed governance.

The salient civilian and military infrastructure challenges due to climate change in the corridor surrounding William & Mary (i.e., west to Richmond and east to Virginia Beach) represent in

a microcosm some of the broader challenges facing infrastructure planners everywhere.

Due to the increasing force of events such as storms and massive swings in temperature, climate change has exacerbated the threat to the interconnectedness of critical infrastructure systems in the US.

Rising sea levels (and sinking land in some places) will cause notable damage to local stakeholders, notably by disrupting the operations of ports, naval bases, and private businesses across several of the 16 critical sectors that the Department of Homeland Security has identified (see below).

Some of our nation's most important infrastructure, such as ports, which are vital to international shipping and national security, will feel the most acute impacts of climate change and



Source: GAO analysis of Presidential Policy Directive-21. | GAO-23-105806

Source: Government Accountability Office (2023).

require improvements to adapt to these challenges. Governance will help shape those future changes.

Sources Consulted

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Discussion #2 Background Memo: Leveraging Federal Investments for Critical Infrastructure April 5, 2024

Federal funding of more than \$1 trillion to support critical infrastructure is flowing from the Infrastructure Investment and Jobs Act, the Inflation Reduction Act, and other federal sources, including military budgets. What strategies have federal agencies, states, and localities developed to use these funds best? Which strategies have avoided bottlenecks or other implementation difficulties?

1. Recent federal investments in critical infrastructure have been massive and have tremendous potential to foster improvements across numerous sectors.

The Infrastructure Investment and Jobs Act of 2021 (IIJA) is perhaps the most substantial federal infrastructure initiative since President Eisenhower authorized the \$25 billion National Interstate and Defense Highways Act of 1956.

The law reaches across numerous sectors including providing \$110 billion for the repair of roads, bridges, and other significant projects; \$39 billion for public transit; \$25 billion for airport enhancements; and \$17 billion for port infrastructure and waterways.

Additionally, it provides \$55 billion to expand water access and improve drinking water and \$65 billion for broadband internet access, particularly in rural regions.

Though many projects that

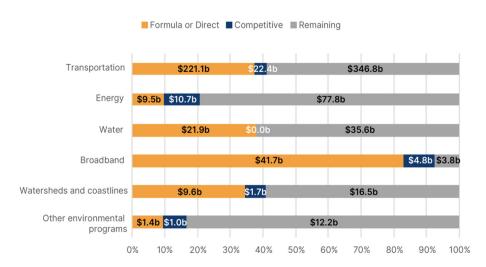
have emerged from the bill are considered major, most are relatively small. Over half of the law's 5,000+ awards are under \$1 million, and another ~30% of awards fall between \$1 million and \$10 million, with most projects heavily concentrated in the road and highway sector.

2. Spending the IIJA funds, and funds from other related infrastructure laws will take time, especially if spending is to meet pressing needs with minimal waste.

As one might expect such a massive law, the progress of grant spending under the IIJA has sometimes been slower than elected officials or the public might have hoped (see figure below). For example, the workload agency staff face is

FIGURE 1

Progress of IIJA-awarded funding, by infrastructure sector and funding type



Source: Progress of IIJA-awarded funding, by infrastructure sector and funding type **Note:** Awards data as of November 15, 2023

B | Brookings Metro

complicated by the number of new competitive grants and other programs in the law. The tasks of developing projects and spending money to support them include annual reviews of processes, publishing Notices of Funding Opportunities, and conducting thorough application assessments.

Despite these challenges, the government deserves recognition for successfully disbursing several billions of dollars within two years and making progress across various sectors.

3. Administrative bottlenecks and economic complications can create a drag on subnational governments as they attempt to spend federal dollars.

As the federal government works to handle the explosion in the level of project grant requests, bottlenecks have emerged that have made it challenging to use funds swiftly and flexibly. This is unsurprising, however, following a federal investment of this size.

Absent major scandals so far, it appears the federal government is handling these challenges about as well as could be expected. In June, for example, the White House announced that \$110 billion in funding had already been distributed for more than 4,000 projects. Many communities have already identified projects eligible for federal funding and are actively searching for contractors to initiate work.

However, other forces, beyond the government's direct control, have shaped project spending. For example, contractors face challenges similar to those other industries face in navigating a tight national labor market. In some instances, jurisdictions have had to pursue exemptions to competitive contracting requirements due to the inadequate number of contractors available to bid on the numerous new projects the IIJA and IRA support.

Still, recent examples, such as the speedy reconstruction of the I-95 overpass near Philadelphia last year (see picture in next column), demonstrate that it is possible to move swiftly and effectively to improve critical infrastructure.

4. Political considerations will shape the continued implementation of the IIJA, IRA, and related infrastructure policies.

Politics permeate all aspects of policymaking and critical infrastructure is no exception. As

such, critics of infrastructure spending will be quick to pounce when projects stumble or fail, or when the spoils of the IIJA and other laws appear to benefit narrow partisan, economic, or social interests.

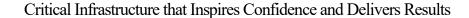


Source: Monica Herndon, The Philadelphia Inquirer (2023)

Even when implementation occurs via transparent and fair processes, incentives exist for elected officials to score political points by over-stating the law's impact or under-stating progress. Those forces can create difficult operating environments but also opportunities for non-partisan agency staff and program managers as they try to convey actual results to their political overseers and the public.

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Discussion #3 Background Memo: How Critical Infrastructure Policy Can Bolster or Undermine Trust in Government April 5, 2024

A compelling challenge confronting officials at all levels is the declining public trust in government institutions. Strained power grids, flooded streets, and physical or cyber attacks on the nation's critical infrastructure contribute to this problem and cultivate doubts about the government's ability to 'deliver' for the American people, especially when some appear to benefit more than others. Although critical infrastructure failures can undermine trust, leaders can think creatively to anticipate these problems and muster compelling responses.

1. Although American governing institutions are losing trust, critical infrastructure represents an arena where officials have opportunities to inspire confidence among the nation's people.

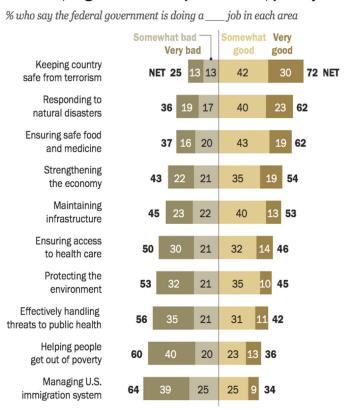
Public and private institutions have seen a steady decline in trust over the last several years. Among the American public, though, majorities still say that the federal government does a 'very good' or 'somewhat good job' keeping the country safe from terrorism (72%), responding to natural disasters (62%), and maintaining infrastructure (53%) (see figure in next column).

Still, maintaining trust in critical infrastructure over the longer term is by no means guaranteed, especially as critical infrastructure systems operate under greater stress.

When natural disasters or even small-scale strains on our nation's critical infrastructure manifest, especially for an extended period, citizens begin to have doubts about the government's ability to rebound from these crises, and trust in government can deteriorate as corruption and disinformation begin to take hold amidst uncertainty.

Challenges to the integrity of infrastructure projects can undermine trust as well. Integrity issues may arise at all stages of a project's lifespan, resulting in heightened economic and social costs. Corruption, misinformation, and public indifference can result in inefficient distribution of infrastructure funds, eroding trust, and a lack of public engagement in infrastructure planning and implementation.

Positive views of government's handling of terrorism, disasters; negative views on public health, poverty



Note: Don't know responses not shown.

Source: Survey of U.S. adults conducted July 23-Aug. 4, 2020.

PEW RESEARCH CENTER

2. Equity considerations can foster mistrust when infrastructure development or disaster response skew toward more advantaged populations or communities.

Further complicating public trust in government is when equity concerns arise, and some communities begin believing that their critical infrastructure needs fail to register on government agendas.

When disaster strikes, these equity concerns become especially acute. Previous research has found that natural disasters can aggravate inequalities and lead to worse morbidity, recovery, and rebuilding outcomes.

The time to restore power, for example, can signal to specific communities where they fall amidst government priorities. In the aftermath of Hurricane

Maria in Puerto Rico, rural and Black communities faced the lengthiest power restoration waiting periods. Unsurprisingly, social vulnerability and political marginalization are associated with longer response times.

3. Extremist groups may exploit critical infrastructure failures to build their movements and further undermine trust in government' ability to serve the nation's people well.

As trust in government and the potential for deterioration becomes a more potent policy issue, malicious actors may increasingly seek to exploit critical infrastructure vulnerabilities to undermine trust. This is not just a theoretical problem.

In North Carolina, for example, unknown attackers recently targeted a power substation in a well-planned attack, causing mass blackouts and inflicting harm to vulnerable populations.

Shortly before the attack, the FBI said in a bulletin that there had been "an increase in threats to electrical infrastructure from people who espouse "racially or ethnically motivated violent extremist ideology to create civil disorder and inspire further violence." Such chaos can further erode trust in public institutions.

Extremist groups not only can attack infrastructure to erode trust, but also use natural disasters and their aftermath to advance their agendas. They do this by filling the void when governments are slow to respond. By appearing as well-intentioned citizens,

¹ Almasy, Steve, "Power May Be Back for Thousands on Wednesday Night as Authorities Continue to Go through Tips on Electric Substation Attack."

they seek to recruit members, spread their ideology, and sow distrust in government.

As one example, the group PINE, which "calls for New England to secede from the U.S. and establish a white ethnostate," has sought to increase local support by surveying damage after floods (see image below). PINE and other organizations can capitalize on the perception of insufficient government response, using it to propagate narratives of radicalization rooted in sentiments of isolation and neglect.



Government leaders can turn the tide on such groups by leveraging successes from new critical infrastructure projects or effective disaster responses. Doing so requires creative imaginations, sometimes "thinking the unthinkable," as the saying goes, to stay ahead of bad actors who would use infrastructure vulnerabilities to undermine trust.

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² "Neo-Nazis Are Using Climate Disasters to Recruit New Members." VICE